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PATENT  
3920101 P

IN 20 2002

TECH CENTER 1600/2900

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: John CARTER Conf.: Unassigned  
Appl. No.: 10/089,846 Group: Unassigned  
Filed: April 4, 2002 Examiner: Unassigned  
For: PHARMACEUTICAL COMPOSITIONS AND THEIR  
USE IN THE TREATMENT OF NEOPLASTIC  
DISEASE

ASSERTION OF SMALL ENTITY STATUS

Assistant Commissioner for Patents  
Washington, DC 20231

JUN 06 2002 SEP 13 2002

Sir:

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The above-identified application qualifies for small entity status. This written assertion of small entity status should satisfy the requirements of 37 C.F.R. § 1.27.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By James W. Hellwege  
James W. Hellwege, #28,808

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

JWH/sh  
3920-0110P



DEPREF

PATENT  
3920-0110P

2M-307

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: John CARTER

Appl. No.: 10/089,846 Group: Unassigned

Filed: April 4, 2002 Examiner: Unassigned

For: PHARMACEUTICAL COMPOSITIONS AND THEIR  
USE IN THE TREATMENT OF NEOPLASTIC  
DISEASEATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE

JUN 06 2002

REQUEST FOR A REFUND OF 50% OF THE FILING FEEAssistant Commissioner for Patents  
Washington, DC 20231

445.00

Sir:

The following documentation and remarks are respectfully submitted in connection with the above-identified application.

DOCUMENTATION

Attached hereto is:

a copy of written assertion of small entity status filed on even date herewith under separate cover; and/or

a copy of an executed Statement Claiming Small Entity Status (37 C.F.R. § 1.9(f) and 1.27( )) - filed on even date herewith under separate cover.

REMARKS

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a refund of 50% of the Filing Fee. Small entity status has been established by the filing of a written assertion/statement on June 6, 2002.

Appl. No. 10/089,846

Small entity applicants may now file the necessary Statement within three (3) months of the date of payment of any particular fee and request a refund. The present application qualifies for the reduced fees as implemented by the U.S. Patent and Trademark Office on October 1, 1982, and further amended on January 20, 1983 and September 8, 2000.

The present application was filed on April 4, 2002 with a filing fee of \$1,860.00. It is respectfully requested that the excess fee of \$930.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By James W. Hellwege, #28,808

JWH/sh  
3920-0110P  
Attachments

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

(Rev. 12/07/01)

#5  
BOX PCT  
PATENT  
3920-0110P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: John CARTER

INTERNATIONAL APPL. NO.: PCT/GB00/03770

APPL. NO.: 10/089,846

CONF.: Unassigned

FILED: April 4, 2002

FOR: PHARMACEUTICAL COMPOSITIONS  
AND THEIR USE IN THE TREATMENT  
OF NEOPLASTIC DISEASE

LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR  
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

BOX PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

JUN 06 2002

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

Executed Declaration and Power of Attorney.  
 Original       Photocopy  
 The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on April 4, 2002, including any amendments thereto (if applicable) filed on even date therewith.

The undersigned hereby declares that "Attorney Docket No. 3920-0110P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 10/089,846 filed April 4, 2002 entitled "PHARMACEUTICAL COMPOSITIONS AND THEIR USE IN THE TREATMENT OF NEOPLASTIC DISEASE."

English language specification, claims, and Abstract with ( ) sheets of drawings.

Applicant claims small entity status under 37 C.F.R. § 1.27.

Attached is a copy of the Notification of Missing Requirements (Formalities Letter).

\_\_\_\_\_

No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Formalities Letter). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Applicant(s) hereby respectfully petitions for ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on April 4, 2002.

- Submitted concurrently herewith under separate cover for recording is an Assignment.
- A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.
- Check(s) in the amount of \$0.00 to cover the above-mentioned fees is/are enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
James W. Hellwege, #28,808

JWH/sh  
3920-0110P

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachments

(Rev. 12/03/01)

Papers Filed herewith on: 6/6/02 22  
DOCKET NO: 3720-110P ATTY: JWTH  
APPLICANT(S): John CARTER  
APPLN. NO: 10/089,846 FILED: 4/4/02  
PAT NO: \_\_\_\_\_

New Application with Transmittal Letter  
 Utility  Design  CIP  PCT  Provisional  
 Filing Under 37 CFR 1.53(b)  CONT  DIV  
 Filing Under 37 CFR 1.53(d) (CPA)  
 Filing Under 37 CFR 1.114(RCE)  
 Specification Consisting of: \_\_\_\_\_ pages  
 Combined Declaration & Power of Attorney  
 Assignment / Cover Letter  
 Letter to Official Draftsman  
 Drawings \_\_\_\_\_ Sheets  Formal  Informal  Red-Ink  
 Completion of Filing Requirements, PCT/DO/EO/905  
or Formalities Letter and Executed Declaration  
 Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
 Amendment: \_\_\_\_\_

Transm'l Ltr  Large Entity  Small Entity

Response \_\_\_\_\_

Information Discl Stmtt. PTO-1449(s) \_\_\_\_\_ ref(s)

Notice of Appeal  Appeal Brief

Issue Fee Transmittal

FEES: \_\_\_\_\_

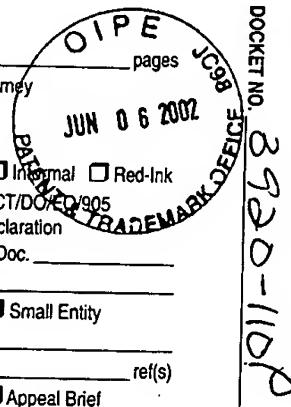
Letter: \_\_\_\_\_

Other: Request for refund of 50% of the filing fee copy of assertion of SMALL ENTITY

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 7-4-02

Handcary: \_\_\_\_\_



Papers Filed herewith on: 6/6/02 24  
DOCKET NO: 3720-110P ATTY: JWTH  
APPLICANT(S): John CARTER  
APPLN. NO: 10/089,846 FILED: 4/4/02  
PAT NO: \_\_\_\_\_

New Application with Transmittal Letter  
 Utility  Design  CIP  PCT  Provisional  
 Filing Under 37 CFR 1.53(b)  CONT  DIV  
 Filing Under 37 CFR 1.53(d) (CPA)  
 Filing Under 37 CFR 1.114(RCE)  
 Specification Consisting of: \_\_\_\_\_ pages  
 Combined Declaration & Power of Attorney  
 Assignment / Cover Letter  
 Letter to Official Draftsman  
 Drawings \_\_\_\_\_ Sheets  Formal  Informal  Red-Ink  
 Completion of Filing Requirements, PCT/DO/EO/905  
or Formalities Letter and Executed Declaration  
 Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
 Amendment: \_\_\_\_\_

Transm'l Ltr  Large Entity  Small Entity

Response \_\_\_\_\_

Information Discl Stmtt. PTO-1449(s) \_\_\_\_\_ ref(s)

Notice of Appeal  Appeal Brief

Issue Fee Transmittal

FEES: \_\_\_\_\_

Letter: \_\_\_\_\_

Other: Letter Submitting additional documents for entering National Phase for a PCT Appl.

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: \_\_\_\_\_

Handcary: \_\_\_\_\_

COPY